

# Operative Airway Evaluation for Chronically Intubated Infants with Extubation Failures Associated with High Downsizing Rates

Emma C. Mazurek<sup>1</sup>, Eleanor Layfield<sup>1,2</sup>, Matthew P. Partain<sup>1,2,3</sup>, Lauren E. Sowa<sup>1,2,3</sup>, Diane W. Chen<sup>1,2,3</sup>

<sup>1</sup>Indiana University School of Medicine, Indianapolis, IN

<sup>2</sup>Department of Otolaryngology – Head and Neck Surgery, Indiana University, Indianapolis, IN

<sup>3</sup>Riley Children's Hospital, Indianapolis, IN

## Introduction

- Long-term intubation and large endotracheal tube (ETT) sizes in infants may predispose the airway to iatrogenic laryngotracheal injury and extubation failure<sup>1,2</sup>.
- The Neonatal Resuscitation Program (NRP) released weight-based ETT sizing recommendations in 2021<sup>3</sup>.

| Weight (g)  | ETT size (mm) |
|-------------|---------------|
| <1000       | 2.5           |
| 1000 – 2000 | 3.0           |
| >2000       | 3.5           |

- Infants with 3.0mm and 3.5mm ETT required downsizing, and 0.5mm smaller ETT sizes were associated with fewer adverse events<sup>4</sup>.

## Methods

- Retrospective review at tertiary level children's hospital (Nov 2021 to Dec 2024)
- Infants  $\leq$ 6 months undergoing first operative airway evaluation due to extubation failure
- Chronic intubation defined as  $>$  2 weeks
- Collected demographic and patient data, operative data, and clinical outcomes

**Table 1.** Demographic data

|                                    |                               |
|------------------------------------|-------------------------------|
| Mean Corrected Age (months)        | 1.4 $\pm$ 1.6 (IQR 0.4 – 2.0) |
| Sex                                |                               |
| Male n (%)                         | 52 ( 55%)                     |
| Female n (%)                       | 43 (45%)                      |
| Race                               |                               |
| White n (%)                        | 67 (96%)                      |
| African American/Black n (%)       | 21 (22%)                      |
| Latinx n (%)                       | 2 (2%)                        |
| Asian n (%)                        | 5 (5%)                        |
| Premature n (%)                    | 61 (64%)                      |
| Mean gestational age (weeks)       | 31.6 $\pm$ 5.9 (IQR 26 – 37)  |
| Bronchopulmonary Dysplasia         | 47 (49%)                      |
| Major Cardiac Abnormality          | 30 (32%)                      |
| Major Neurologic Disorder          | 12 (13%)                      |
| Trisomy 21 n (%)                   | 7 (7%)                        |
| Major medical comorbidity n (%)    | 23 (24%)                      |
| Mean duration of intubation (days) | 55.3 $\pm$ 48.8 days          |

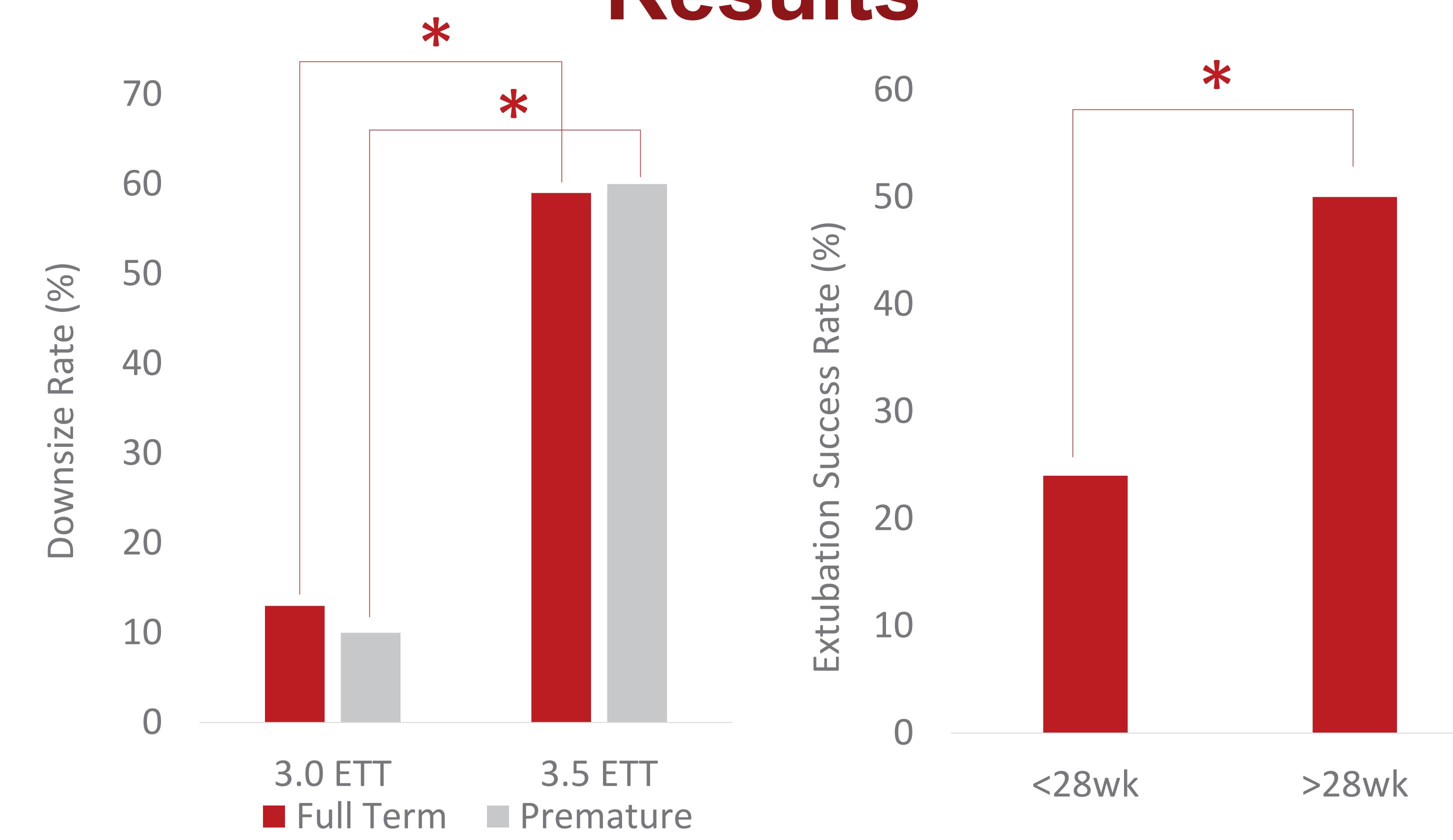
## Results

- Infants with 3.5 ETT at operative evaluation required downsizing more frequently than infants with 3.0 ETT (59% vs. 13%,  $p<0.01$ )
- Downsized patients (3.5 to 3.0 ETT) trended higher extubation rates vs. those who weren't downsized (50% vs 29%,  $p=0.21$ ).
- Gestation  $<28$  weeks had lower extubation success rates (24%,  $p=0.01$ ) and higher tracheostomy rates (65%,  $p=0.01$ )
- Infants with major neurologic conditions had lower extubation success rates (8%,  $p=0.02$ )
- Infants weighing  $>4$ kg had higher downsizing rates (43%,  $p=0.07$ ) and lower extubation success rates (29%,  $p=0.08$ ).
- BPD and airway malacia were not significantly associated with downsize, extubation, or tracheostomy rates.

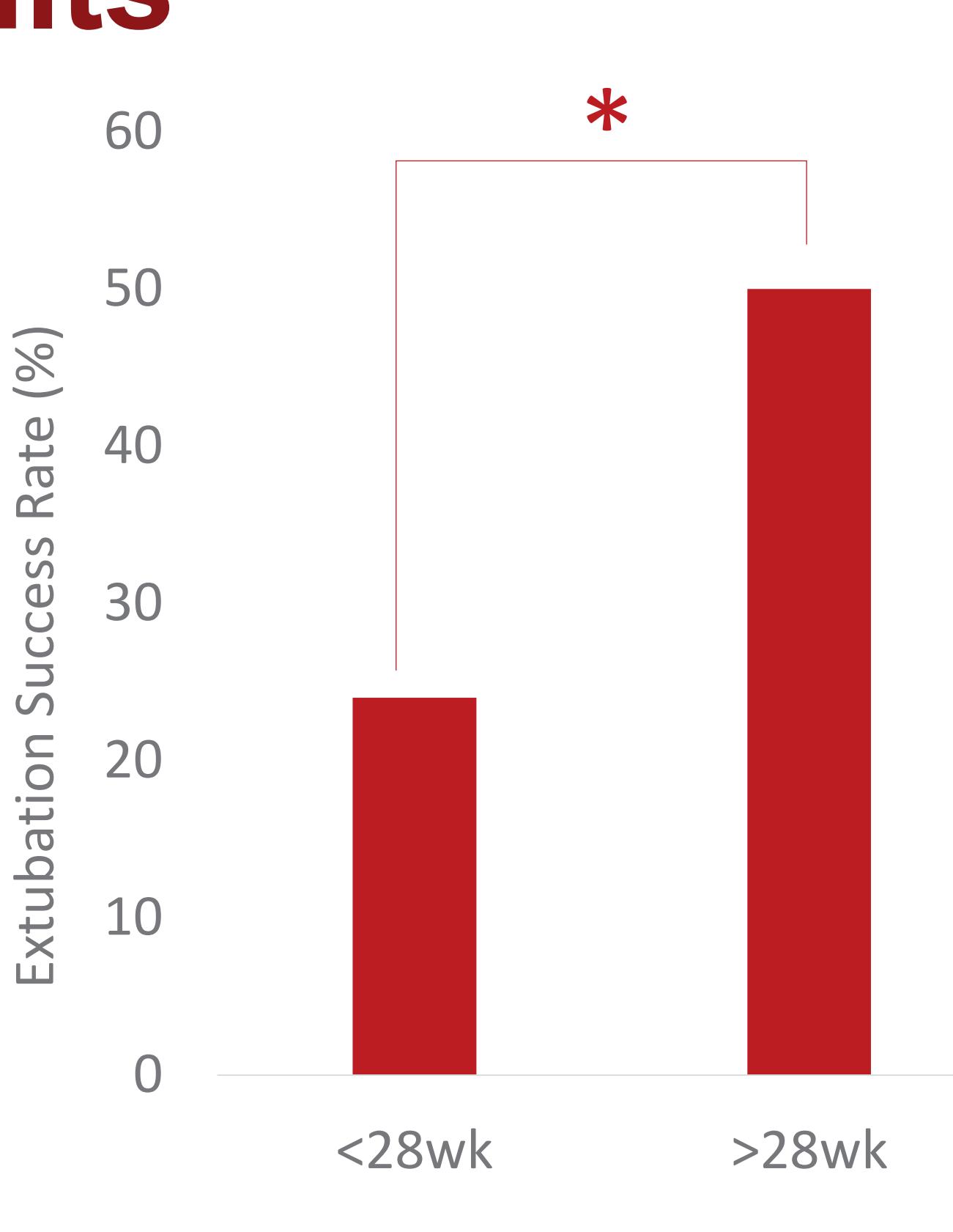
## Discussion

- Prevalence of subglottic stenosis (SGS) is 2-11%<sup>8-9</sup>.
- Post-intubation SGS is attributed to risk factors such as duration of intubation, traumatic intubation, gestational age, and size of endotracheal tube<sup>5-7</sup>.
- Gestation  $<28$  weeks and neurologic comorbidity were strongly linked to extubation failure and tracheostomy
- Airway malacia and BPD do not appear to predict outcomes
- Limitations: retrospective, small cohort, inability to distinguish congenital vs. acquired SGS

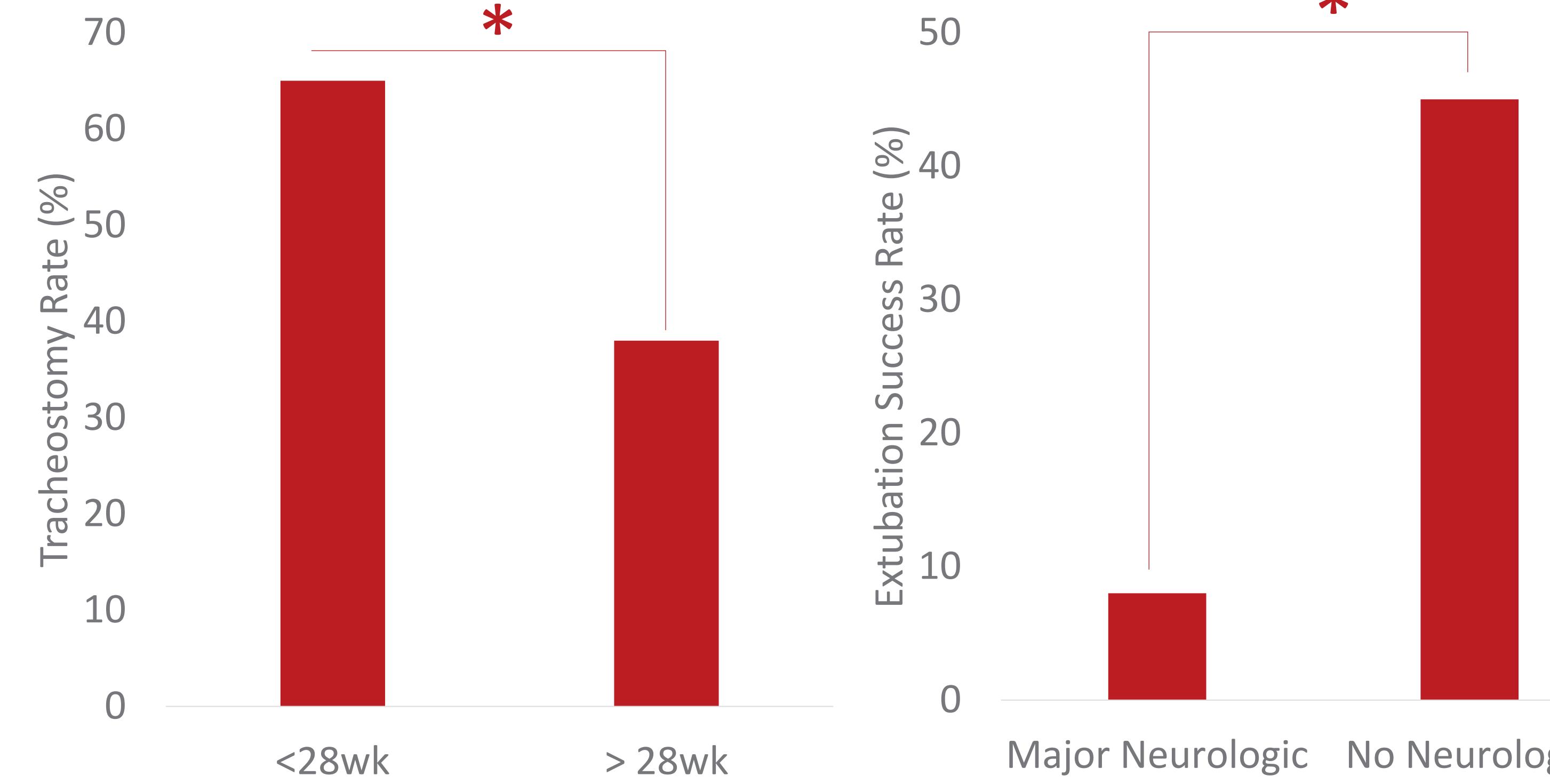
## Results



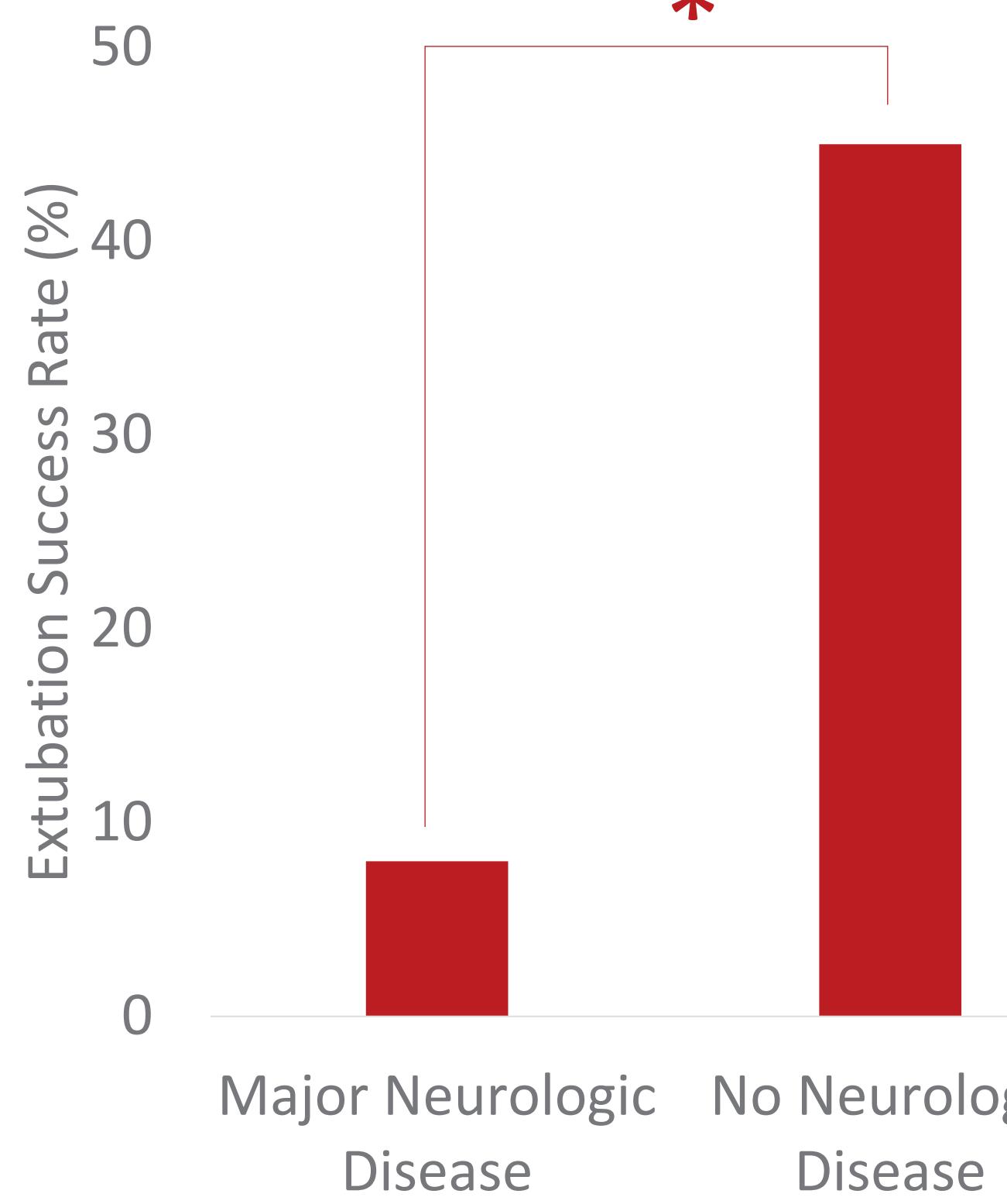
**Figure 1.** Infants with 3.5 ETT had higher downsizing rates than 3.0 ETT in full term (13% vs. 59%,  $p<0.01$ ).



**Figure 2.** Infants  $<28$  wks had lower extubation success vs. infants  $>28$  wks (24% vs. 50%,  $p<0.05$ ).



**Figure 3.** Infants  $<28$  wks gestation had higher tracheostomy rates vs.  $>28$  wks gestation (65% vs. 38%,  $p<0.05$ ).



**Figure 4.** Infants with major neurologic disease had lower extubation success than infants with no neurologic disease (8% vs. 45%,  $p<0.05$ ).

## Conclusions

- Smaller ETT (-0.5mm) reduces the need for downsizing and trends toward higher extubation success
- Weight-based ETT sizing may overestimate airway caliber for long-term ventilation
- Extreme prematurity and neurologic comorbidity drive airway outcomes, not airway malacia
- We recommend incorporating pediatric otolaryngologist input into ETT sizing recommendations

## References

- Herrick HM, O'Reilly MA, Foglia EE. Success rates and adverse events during neonatal intubation: Lessons learned from an international registry. *Semin Fetal Neonatal Med.* Oct 2023;28(5):101482. doi:10.1016/j.siny.2023.101482
- Ozawa Y AA, Foglia EE, et al. NEAR4NEOS Investigators. Impact of physician training level on neonatal tracheal intubation success rates and adverse events: a report from national emergency airway registry for neonates (NEAR4NEOS). *Neonatology.* 2019;118(4):434–442.
- Endotracheal Intubation. In: Weiner GM, Zaichkin J, eds. *Textbook of Neonatal Resuscitation.* 8th ed. American Academy of Pediatrics; 2021:0.
- Peebles PJ, Jensen EA, Herrick HM, et al. Endotracheal Tube Size Adjustments Within Seven Days of Neonatal Intubation. *Pediatrics.* Apr 1 2024;153(4):doi:10.1542/peds.2023-062925
- Veder LL, Joosten KFM, Schlink K, et al. Post-extubation stridor after prolonged intubation in the pediatric intensive care unit (PICU): a prospective observational cohort study. *Eur Arch Otorhinolaryngol.* Jun 2020;277(6):1725–1731. doi:10.1007/s00405-020-05877-0
- Jefferson ND, Cohen AP, Rutter MJ. Subglottic stenosis. *Semin Pediatr Surg.* Jun 2016;25(3):138–43. doi:10.1053/j.sempedsurg.2016.02.006
- Contencin P, Narcy P. Size of endotracheal tube and neonatal acquired subglottic stenosis. *Study Group for Neonatology and Pediatric Emergencies in the Parisian Area. Arch Otolaryngol Head Neck Surg.* Aug 1993;119(8):815–9. doi:10.1001/archotol.1993.01880200015002